



STATE OF MISSOURI  
DIVISION OF PROFESSIONAL REGISTRATION

# DIMENSIONS

BOARD FOR ARCHITECTS, PROFESSIONAL ENGINEERS,  
PROFESSIONAL LAND SURVEYORS & PROFESSIONAL  
LANDSCAPE ARCHITECTS

SPRING/SUMMER 2017

## CHAIRMAN'S LETTER

By: Robert N. (Bob) Hartnett, PLA



Within the design professions, there was movement in the late 1990's and early 2000's to implement a continuing education requirement in all state statutes/rules to demonstrate continued minimum competency by licensees. Continuing education was seen as a mechanism to protect the public's health, safety, and welfare. It is one of the more controversial topics relating to licensure. Love it or hate it, continuing education is here to stay for the foreseeable future.

The four professions governed by our Board each have a continuing education requirement and licensees must certify that they have completed the required continuing education at the time of renewal. The individual requirements for each profession can be found in the Board Rules, Division 2030. The continuing education rules for Architects, Professional Engineers, and Professional Landscape Architects are in Chapter 11 and can be accessed here: <http://www.sos.mo.gov/cmsimages/adrules/csr/current/20csr/20c2030-11.pdf>. The continuing education rules for Professional Land Surveyors are in Chapter 8 and can be accessed here: <http://www.sos.mo.gov/cmsimages/adrules/csr/current/20csr/20c2030-8.pdf>.

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- Audit documentation was incomplete, hard to follow, and frequently submitted at the last minute. These submissions often require several iterations between the licensee, staff, and the audit chair. Having served as Audit Chair in the past, some audits could be reviewed and approved in 20 minutes, while some would take hours. Keep in mind that the four Division Audit Chairs will NOT approve a licensee for renewal just because time is running out. Also remember that licenses expire on December 31 and that if you are not renewed, you cannot practice.
- In a few cases, we see an audit submission where all or most of the requirements were completed on-line only after the audit notice was sent. Reading between the lines, it certainly looks like the licensee was about to renew without meeting the continuing education requirement. Remind the licensee that if you were not selected for audit, you are certifying that you met the continuing education requirements.
- We also see a few submissions where the licensee is claiming technical proficiency. Personal enrichment classes will not count toward the requirement.
- Here are a few suggestions to ensure that the renewal goes as smoothly as possible:
  - Understand the continuing education requirements of your profession.
  - Don't wait until the last minute to earn your continuing education credits.
  - Submit documentation that is complete, organized and easy to follow.
  - Please feel free to contact me through the Board office if I can be of any service to you.

- Audit documentation was complete, easy to follow, but submitted at the last minute. This happens more often than it should. Keep in mind that Board Members have more time to review multiple audits the afternoon of December 31.

The other submissions include:

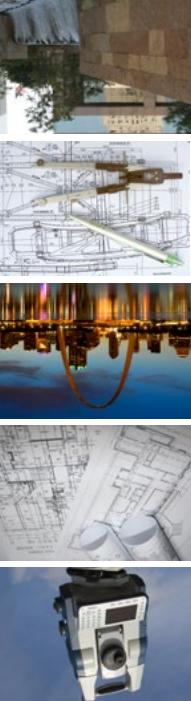
- Audit documentation was complete, on time and approved in approximately 50% of the submissions and their renewal was issued in short order.
- Audit documentation was complete, but submitted in observations regarding the Division's reports and the process: at the November and January meetings each year. Below are my observations at the November and January meetings each year. Below are my observations the Board normally discusses the status of the ongoing audit process.
- Don't wait until the last minute to earn your continuing education credits.
- Submit documentation that is complete, organized and easy to follow.
- Please feel free to contact me through the Board office if I can be of any service to you.

Architects	24 CEUs	16 of the 24 CEUs must be health, safety, and welfare related.
Surveyors	20 PDUs	No more than 12 PDUs in nonpersonal contact.
Professional Land Surveyors	20 PDUs	2 PDUs in Surveying Standards every 2 year period immediately preceding renewal.
Engineers	30 PDHs	Must maintain, improve, or expand skills and knowledge.
Architects	24 CEUs	16 of the 24 CEUs must be health, safety, and welfare related.

In short, the requirements for each profession are:

## CHAIRMAN'S LETTER CONTINUED...

# DIMENSIONS



# ARCHITECTURAL DIVISION LETTER

of science behind every design direction we provide and be able to produce the documentation when needed.

In the last newsletter, I discussed the Board's proposed new rule for professional seals. New procedures have been put in place which require our new rule to be resubmitted. One of the new requirements is for the Board to hold open hearings to ensure there has been adequate public input on the proposed changes. It never hurts to get

of science behind every design direction we provide and be able to produce the documentation when needed.

In that same newsletter, I also discussed a Letter of Understanding that the Board had executed with NCARB that would provide for an architectural licensure reciprocity agreement between Missouri and Australia and New Zealand. Since that time, we have been advised that there are some provisions in conflict with Missouri statutes that must be resolved, so we have notified NCARB that we must withdraw from the agreement until we can resolve these matters. We still believe that such a reciprocity agreement with Australia and New Zealand will be good for Missouri architects and will continue to pursue it.

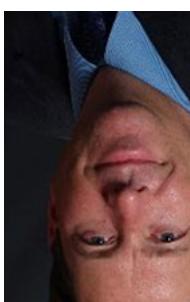
about the hearings.

Bob Hartnett at the recent NCEES Board Presidents Assembly held on February 3 and 4, 2017 in Atlanta, Georgia. This Assembly is held biennially and was attended by 68 out of the 70 eligible Boards from across the country and U.S. Territories. I believe the attendee who traveled the farthest was the representative from the Northern Mariana Islands.

By: Kevin C. Skibinski, PE, SE, PLS, Division Chair

PROFESSIONAL ENGINEERING DIVISION LETTER

have noticed over the years that some architects (not always, but often enough) defend their design decisions by saying "I have done it that way for years." It's time to consider what is wrong with relying upon such a statement as the sole defense for making a design decision. This is especially true if the reason you are being asked to defend your design is to determine whether you are qualified to make such decisions. In these situations, I believe that engineers have been better trained to keep a file of their design decisions than we are. This becomes more apparent when the issue of incidental practice is involved. For example, if we ask an engineer about how he or she determined the size of the water line they specified to serve a small office building, chances are they will pull his/her design calculations out of their favorite software that he/she has always used a 3/4-inch line in this situation - everyone does. We have a duty as licensed professionals to have the discipline that he/she has always used a 3/4-inch line in this situation and, more likely than not, he/she will simply claim the same question and, more likely than not, he/she will simply claim file. Ask an architect defending a complaint of practicing engineering negligence that he/she will pull his/her design calculations out of their favorite software that he/she has always used a 3/4-inch line in this situation - everyone does. We have a duty as licensed professionals to have the discipline that he/she has always used a 3/4-inch line in this situation and, more likely than not, he/she will simply claim the same question and, more likely than not, he/she will simply claim file.



By: James C. Rearden, AIA, CSI, Division Chair





# PROFESSIONAL LAND SURVEYING DIVISION LETTER

By: Michael C. Freeman, PLS, Division Chair

Title Block placement. This issue was discussed at the January Board Meeting with the result being: The Title Block was specifically defined as an outline of some shape, usually a rectangle, along one edge or in one corner of the page containing the elements cited above. This information is now available on the Board Website at <http://prmo.gov/boards/apelsia/apelsia2/apelsia.pdf>.

Over the past couple of years we've received a number of anonymous complaints. Unlike many state boards, we do open an investigation and look into the complaint(s). We understand that some people are risking their livelihood by filling a complaint against their employer but they believe it should be reported and this is the only avenue they have to bring the issue to light. Unfortunately, that is not the case with most of the anonymous complaints. There are other cases where an anonymous complaint is filed by a disgruntled client or former employee solely to "get back" at the licensee. With every complaint, an attorney will spend a considerable amount of time bringing together information, interviewing parties and preparing a report. If your job is not at risk, take responsibility for the complaint. Allow us to respond to the issues in the complaint, something we cannot do if it is a retaliatory attack.



Time has always been an issue with Missouri Surveyors...there doesn't seem to be enough time to do all of the things that need to be done, i.e. preparing and supervising our crews and office personnel. We don't expect you to be looking over their shoulders; however, our surveying complaints indicate that many of the licenses could be doing a better job supervising their personnel. I say this because we are still seeing a significant number of complaints resulting from improper or inadequate surveying procedures, things that could be corrected with better oversight. Many times this is the result of "short-cutting" the job. "Someone" has underpriced the job and the result is the surveyor doing less than adequate work trying to make up



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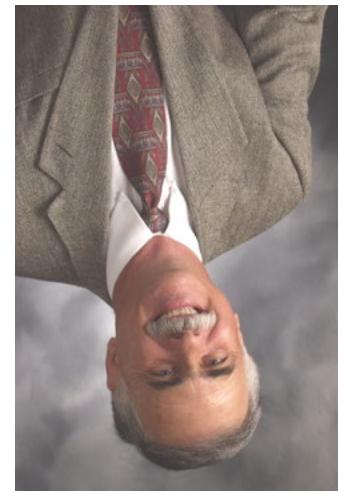
# DIMENSIONS



## PROFESSIONAL LANDSCAPE ARCHITECTURAL DIVISION LETTER

By: Robert S. "Bob" Shotts, PLA, PLS, Division Chair

I am reminded of what my father told me when I was still a child concerning our perception of time. He told me that the older you get the quicker time seems to go. I had my doubts about that in the 1950's but I now understand what he was telling me. It has now been close to a year since Eric Davis joined the PLA Division of the Board and 3 years since Noel Fehr joined the Division. I would like to personally thank both of them for their service on the Board. Board members are just like most licensed professionals, they have jobs, families and all the other commitments that come with life today. Both Noel and Eric (as well as the other Board members) spend a considerable amount of time, not only in attending meetings with the quarterly Board Meetings each year, but also many hours reading the weekly updates sent to us by the Board staff. Each Board member is expected to be well familiar with the materials pertaining one of the Board Meetings.



If you work with or have contact with someone who is working toward licensure, encourage them to take advantage of two resources. The first is the Missouri Board website: <http://pr.mo.gov/apelsa.asp> and the second is the CLARB website: <http://www.clarb.org/>. I would also encourage any licensee to take the time to attend the open portion of one of the Board Meetings.

The CLARB meeting will be held in Boise, Idaho this fall at which time we should receive updates on the status of the licensing exam as well as other issues pertaining to licensure. It is always interesting to hear from board members from other states. There seems to be a commonality in the kinds of issues that all licensing boards face. Some states have had funding issues, and as I have indicated before, Missouri is very fortunate to have a well run Board which has been able to reduce the renewal fees for both individuals and corporations.

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# PUBLIC MEMBER LETTER

By: Sherry L. Cooper, Public Member



**B**efore I retired, I worked for 22 years as a state legislative analyst for a national professional association representing a healthcare provider group. A substantial focus of my work was to support our 51 affiliated associations with the drafting or review of state legislation, the development of legislative strategies, and the creation of resource materials primarily related to scope of practice issues. Through my work I also gained an extensive background in the licensing and regulation of those professions that without

regulation by the states would pose a clear and present danger to the health, safety and welfare of the public. Over time I knew I wanted to serve Missouri as a lay member on a board. I was thrilled when I was chosen by the Governor and appointed in June 2014.

I recognize there are a few occupations currently licensed in some states that may not meet the threshold necessitating licensure. But, for those professions that do warrant regulation — such as the four regulated by this Board — I am a strong supporter of their licensure; as well as the time-tested professional self-regulatory system.

**No-Cost, Safe and Effective Public Protection:** It is important to recognize that the professional self-regulatory system does not cost the state money. Under this time-tested system — license, license renewal, and other fees fully fund boards as they perform their important public protection functions as an agency of the state. This is a huge benefit to the public.

**Intrinsic Knowledge:** At the core of the professional self-regulation system is the principle that only persons licensed to practice the profession have a comprehensive understanding of the education, training, and testing required to safely and effectively practice. This

knowledge is essential to properly assess qualifications for licensure, competent practice, unprofessional conduct, and other practice-related issues.

**Public Involvement:** While licensing boards must include licensed professional members — at the same time layperson participation on boards is essential to ensure that the public is meaningfully represented in the regulatory process. From a legal and practical perspective it is not recommended that layperson representation exceed a majority on any board as this would necessitate costly administrative adjustments to efficiently and effectively enforce the Practice Act. Section 327.031, RSMo, sets the membership of this Board at 15 members including a chairperson, three architects, four engineers, three land surveyors, three landscape architects, and a voting public member.

**Public Member Participation:** When I was appointed I knew that as the sole layperson on a board regulating four professions I had a great deal to learn and I looked forward to the challenge. After almost three years I still have much to learn, but am pleased to report that throughout my tenure all four Divisions have been very welcoming to my participation in their meetings. As a member of the Board, I have access to all materials and am able to ask questions or make comments during meetings or hearings conducted by each Division. While I only have authority (appropriately so) to cast a vote on matters that come before the full Board, I am otherwise able to bring the public perspective into each Division's meetings. My original plan was to rotate through the four Division meetings — focusing on a different one at each quarterly Board meeting. In reality, I find that due to the number of issues coming before some of the Divisions my plan has not entirely worked out and I attend some Division meetings more than others. Nonetheless, I am conscious of the issues before each Division and am prepared to participate as needed.

The Board handles a large number of complaints and other issues in a thorough, professional, and thoughtful manner. Each Board Member takes his or her responsibility to enforce the Practice Act and protect the public very seriously. I am confident that my input, contributions, and perspective as a layperson representing the public are a valuable part of the process.

Several people have told me that it takes a year to fully grasp how the Board works and how to fit in. There is a lot of merit in that perspective. I certainly understand the process of being a Board Member better than when I began. Two specific things I have come to understand are how great our Board staff is and how hard our Division Chairs work. These dedicated people make the task of a Board Member like me so much easier and keep us all focused on our shared mission to serve the licensed professionals in the State of Missouri.

I can't wait for my next surprise.

The confirmation process itself. I was humbled and honored by the letters of support and recommendation I received from my colleagues. There were many procedural steps along the way to my hearing, each bringing me new experiences. The actual day of my confirmation I was met by Judy Kempler, the Board's Executive Director, who sat with me as the proceedings started. She reassured me that most times, these hearings just sailed by with barely a question from any of the Senators. After the third appointee had been peppered with questions I turned and asked Judy if this was "normal". Wide-eyed, she turned to me and said "no... they are asking a LOT of questions." When my time came and Senator Kraus introduced me to the committee I nervously waited my turn in front of the firing squad. After what seemed an eternity of dead silence, the Chairman said, "well, I guess you get off easy today. Thank you for your service." My withdrawal from the chamber re-defined the term "dignified haste".



By: Eric D. Davis, Jr., PLA, Member of the Professional Landscape Architectural Division

"Blissed is he that expellet nothing, for K. Chesterton wrote by Judy and her staff. There were forms, releases, waivers, letters, all presented a rolling briefcase which was promptly filled to overflowing with the Board staff. I was next surprise was my orientation with the Board staff. I was

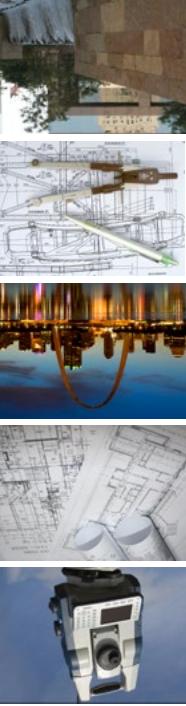
member of the Board took his or her assignment. The day of my first Board Meeting arrived and, like all my other experiences, it was a surprise as well. The mechanics of the meeting were fascinating. Each Division had its individual proceedings, guided by the Division Chair. These were followed by the full Board proceedings. I was struck that day by the mutual respect and sense of cooperation between all the members of the Board, no matter their profession. Moreover, I could tell that each member came to the meeting prepared and ready to conduct the business at hand.

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# DIMENSIONS





## MOVING? PLEASE NOTIFY THE BOARD OFFICE OF YOUR NEW ADDRESS

The rules and regulations require all licensees to notify the Board of all such changes by sending a letter, e-mail, or change of address form to the office in Jefferson City, Missouri. Please include a street address to facilitate any express mail deliveries.

You can either send the change via the following link:

<https://renew.pr.mo.gov/apelsla-coa.asp>; by email [moapeplspla@pr.mo.gov](mailto:moapeplspla@pr.mo.gov); or by U.S. Mail to:

Missouri Board for Architects, Professional Engineers,  
Professional Land Surveyors and Professional  
Landscape Architects  
3605 Missouri Boulevard,  
Jefferson City, MO 65109



## GREITENS ADMINISTRATION ESTABLISHES NEW RULEMAKING PROCESS

Consistent with his advocacy of reducing regulatory burdens, new Missouri Governor Eric Greitens has directed state agencies to follow new procedures in the promulgation of administrative rules. In Executive Order 17-03, issued January 10, 2017, the Governor directed all state agencies to undertake a review of existing regulation and hold hearings allowing citizens to identify regulations which might be burdensome. The Order also requires state agencies to certify that existing and proposed regulations meet a variety of criteria relating to justification, costs and benefits, and burdens.

The Board has undertaken reviews of approximately thirty proposals which were published but not yet adopted as of January 1. The Board will hold hearings on these proposals at its April and July-August meetings, and submit its recommendations to the Governor's office consistent with the new procedure.

### **Disclaimer:**

**The articles in this newsletter are the work and opinion of the individual authors and do not necessarily reflect the official opinion or position of the Board.**



## BEWARE OF OVERSEAS PROVIDERS

Missouri licensees have brought to the Board's attention a problem with overseas individuals or firms using email and electronic means to solicit business in design work for Missouri projects. Some of these providers offer CAD drafting services, but others have openly solicited business for designing and preparing plans for permits and construction. One ad forwarded to the Board offered:

- Architectural planning & design with permit drawing sheets
- Landscape drawing
- Architectural 3D view and model
- CAD conversion
- Other engineering services

All this at \$5 per hour.

The risk that private individuals will seek out services such as these in lieu of hiring competent licensed professional designers is obvious. Building and permit authorities are the main line of protection against the preparation of designs by unqualified persons.

Any licensees who receive propositions for such services should bear in mind the requirements of immediate personal supervision discussed in an article in this issue. Even in an age when electronic communications are dissolving many boundaries, it is difficult to see how a design professional in Missouri could provide the kind of supervision to a shop in a distant country consistent with the requirement that each step of the preparation process must be supervised.

## NEW LITIGATION COUNSEL JOINS BOARD

As of January 1, 2017, Edwin R. Frownfelter has joined the Board in a new role as Litigation Counsel.

Mr. Frownfelter has practiced law in Pennsylvania and Missouri since 1976. Prior to January 1, 2017, he served for nine years as an Assistant Attorney General in the Governmental Affairs Division. With the Attorney General's Office, his primary role was representing licensing boards in discipline and unauthorized practice cases. He successfully defended boards against constitutional challenges to licensing brought by apartment finders, equine dentists, and hair braiders. He also handled general governmental matters. Beginning in 2008, he was the primary liaison of the Attorney General's Office to the Board, and has represented the Board in many of its licensing and unauthorized practice cases.

In his new role with the Board, Mr. Frownfelter will be counsel for the Board in most licensing-related cases before the Missouri and Federal courts and the Administrative Hearing Commission. He will also provide support to the Board and staff in investigatory matters, legal inquiries, and analysis of legislation, administrative rules, and legal developments, and will assist Executive Director Judy Kempker in communications and management projects. Curtis F. Thompson remains General Counsel advising the Board in legal matters.





# IMMEDIATE PERSONAL SUPERVISION: YOUR SEAL, YOUR RESPONSIBILITY

by Edwin Frownfelter, Litigation Counsel

“Immediate personal supervision” is a key concept in Missouri law governing architects, professional engineers, professional land surveyors, and professional landscape architects. In an environment when licensed professionals often work in teams with other disciplines and occupations, it is essential for each professional to understand when she or he may seal a technical submission in preparation of which others have played a part. In other jurisdictions this concept is sometimes referred to as “responsible control” ([NCARB Rules of Conduct](#)) or “responsible charge” ([NCEES Model Law](#)).

The greatest significance of the concept of immediate personal supervision lies in [Section 327.411](#) of the Revised Statutes of Missouri, which specifies the requirements as to a professional’s use of her or his personal seal on technical submissions. The statute adds that the licensee “shall either prepare or personally supervise the preparation of all documents sealed by the licensee.” Thus, any time a licensee seals documents on which another person or entity, whether licensed or not, has performed work, she or he makes a representation that the licensee personally supervised the work consistently with the requirements of immediate personal supervision.

The Board has further defined the level of care that meets the requirement of immediate personal supervision in a regulation codified at [20 CSR 2030-13.010](#). Subsection (1) of this rule states circumstances which must be met in order for the licensee’s involvement to be considered immediate personal supervision. These include:

- The client must **request the services directly from the licensee** or an employee who works directly for the licensee in the same place of business.
- The licensee must **supervise each step** of the preparation of the specifications, drawings, reports, engineering surveys or other documents, and must **have input prior to their completion**.

- The licensee must **review** the submissions prior to sealing, must have the **ability to make changes** to them, and **must make any appropriate changes**.

These requirements are particularly critical in situations where the client’s original relationship was with another party – perhaps another licensee or even an unlicensed person such as a contractor – and that person, rather than the client, seeks the licensee’s involvement in the project. The licensee cannot take directions from the third party, but must form a direct communication relationship with the ultimate client. The licensee must become directly involved in the process of creating the submissions, not merely approve and seal already completed work prepared by the third party.

The Board has seen situations in which the licensee forms a referral relationship with a contractor, drafting company, or other unlicensed person, and merely signs off on and seals work prepared and sent over by that party. This is called “plan stamping,” and it does not meet the requirements of immediate personal supervision set out in Section 327.411 and 20 CSR 2030-13.010. Under the terms of [Section 327.441.2\(6\)](#), RSMo, a licensee who seals technical submissions prepared by others not under her or his immediate personal supervision is subject to discipline for violating a provision of Chapter 327 or a regulation adopted under the authority of that chapter. Licensees should beware of situations where they are asked to review and seal technical submissions which come to them already prepared, and where all the client’s communications are addressed to the third party seeking the review.

In addition, the licensee who seals work performed by an unlicensed person or by a licensed professional acting outside his or her area of qualification may be guilty of assisting the unauthorized practice of the profession involved, in violation of Section 327.441.2(10), RSMo. Such cases frequently result in discipline of the sealing professional’s license.



## IMMEDIATE PERSONAL SUPERVISION: YOUR SEAL, YOUR RESPONSIBILITY CONTINUED...

20 CSR 2030-13.010 (1) (D) addresses situations in which a licensee is called upon to seal technical submissions based on documents prepared and sealed by another licensee. This question arose to prominence in a 2008 decision of the Missouri Supreme Court in [Bird v. Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects](#). In that case the Court held that a professional engineer who sealed plans incorporating the work of an architect who was no longer involved in the project did not violate the requirements of immediate personal supervision.

The Board subsequently amended 20 CSR 2030-13.010 (1) (D) to specify conditions under which a licensee may rely on work prepared by another professional. The current rule permits a licensee to seal technical submissions in reliance on work done by others:

- when a licensee who prepared or sealed the original work is no longer available;
- when the work is a site adaptation of a standard design drawing, or
- when using a design drawing signed and sealed by an out-of-jurisdiction licensee.

The successor professional must develop a complete design file with work or design criteria, calculations, code research, and any necessary and appropriate changes to the work. The successor licensee must have control necessary to make any necessary changes and must take responsibility for the design as a whole. He or she need not redo work performed by non-professionals such as drafters, but the plans must clearly and accurately reflect the successor licensee's work.



## EXAMINATION DATES AND FILING DEADLINES

A completed application for examination or re-examination/re-scheduling must be received in the Board office no later than the filing deadline; NO EXCEPTION.

Missouri Re-Examination	Specific Re-Scheduling	Application	Filing Deadline	Examination Dates	Filing Deadline
July 19, 2017	May 1, 2017	June 1, 2017	May 1, 2017	October 18, 2017	September 1, 2017
October 18, 2017	August 1, 2017	September 1, 2017	August 1, 2017	January 17, 2018	November 1, 2017
December 1, 2017	September 1, 2017	October 1, 2017	September 1, 2017	January 17, 2018	November 1, 2017
February 1, 2018	March 1, 2018	April 1, 2018	March 1, 2018	April 18, 2018	May 1, 2018
March 1, 2018	April 1, 2018	May 1, 2018	June 1, 2018	July 18, 2018	June 1, 2018
May 1, 2018	June 1, 2018	July 18, 2018	August 1, 2018	September 1, 2018	October 17, 2018

Principles and Practice of RE-Examination/ Engineering Re-Scheduling	Application Filing Deadline	Examination Filing Deadline	Dates
October 27, 2017	June 1, 2017	August 15, 2017	October 27, 2017
April 13, 2018	December 1, 2017	February 15, 2018	October 26, 2018
October 26, 2018	June 1, 2018	August 15, 2018	October 26, 2018
April 5, 2019	December 1, 2018	February 15, 2019	April 5, 2019
June 1, 2019	June 1, 2019	June 1, 2019	June 1, 2019
August 15, 2019	August 15, 2019	August 15, 2019	August 15, 2019
October 25, 2019	October 25, 2019	October 25, 2019	October 25, 2019





## EXAMINATION DATES AND FILING DEADLINES CONTINUED...

### 2017 L.A.R.E. Administration Dates & deadlines



Registration will be open May 8 - July 28.

Cancellation deadline: July 28



Registration will be open September 5 - November 27.

Cancellation deadline: November 27

Upcoming administration dates and deadlines are subject to change.

## SCHEDULE OF UPCOMING BOARD MEETINGS



**July-Aug  
31-1**

Kansas City area



**Nov  
2-3**

Springfield area



**Jan  
29-30**

Jefferson City area



**April  
23-24**

St. Louis area

Meeting locations may vary. For current information please view notices on our website at <http://pr.mo.gov/apelsla-meetings.asp> or call the board office.

If you are planning on attending any of the meetings listed above, notification of special needs should be forwarded to the Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects, 3605 Missouri Boulevard, Jefferson City, MO 65109 or by calling 573-751-0047 to ensure available accommodations. The text telephone for the hearing impaired is 800-735-2966.

Note: Board Meeting Notices are posted on our web site at <http://pr.mo.gov/apelsla-meetings.asp>

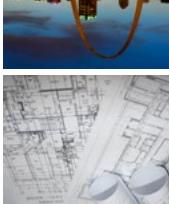
2016 YEAR-END REPORT

NUMBER OF EXAMINATIONS ADMINISTERED      TOTAL NUMBER OF LICENSEES: \*28,153

NUMBER OF EXAMINATIONS ADMINISTERED	
TOTAL NUMBER OF LICENSEES:	
*28,153	Architects Licensed by Examination
16,398	Professional Engineers
859	Professional Land Surveyors
348	Professional Landscape Architects
22,362	Total Individual Licensees with Active Status
358	Architects with Inactive Status
1,936	Professional Engineers with Inactive Status
1,976	Professional Land Surveying Corporations
966	Architectural Corporations
98	Professional Engineering Corporations
294	Professional Land Surveying Corporations
1,976	Architectural Corporations
966	Total Corporate Licenses
3,334	* Includes active and inactive for individuals and corporations.
30,785	TOTAL NUMBER OF INTERNS/LISTS:
30,302	Engineer Interns Enrolled
483	Land Surveyors-in-Training Enrolled
483	Licenses by Examination
NUMBER OF LICENSES GRANTED BY COMITY:	
52	Architectural Engineering
9	Professional Land Surveying
62	Architectural Surveying
48	Professional Landscape Architecture
48	Total Number of Cases Closed in 2016:
122	Total Number of new Complaint Cases Filed in 2016:
153	Pending at the beginning of 2016:
541	Total Number of Discipline Cases
13	Pending at the beginning of 2016:
14	Total Number of Cases Closed in 2016:
158	Total Number of new Complaint Cases Filed in 2016:
NUMBER OF CORPORATE CERTIFICATES OF AUTHORITY ISSUED:	
10	Land Surveying
14	Architectural
84	Engineering
158	Professional Land Surveying
153	Professional Landscape Architecture
541	Pending at the beginning of 2016:
13	Total Number of Discipline Cases
122	Pending at the beginning of 2016:
153	Total Number of Cases Closed in 2016:
11	Total Number of new Complaint Cases Filed in 2016:
-	Licensed by Examination
(Part II)	Passed
27 failed	(Part II)
22 passed	(Part I)
14 passed	(Part II)
-	Passed
21 failed	(Part I)
-	Failed
(Part II)	Passed
-	Failed
11	Licensed by Examination
(Part II)	Passed
-	Failed
22 passed	(Part I)
14 passed	(Part II)
-	Passed
27 failed	(Part II)
22 passed	(Part I)
11	Licensed by Examination
(Part II)	Passed
-	Failed
21 failed	(Part I)
-	Failed
30,302	Total Corporate Licenses
30,785	Total Corporate Licenses
483	Includes active and inactive for individuals and corporations.
STATISTICS FOR 2016	



DIMENSIONS



# DIMENSIONS



## BREAKDOWN OF ALLEGATIONS FOR ALL NEW COMPLAINTS

FILED IN 2016 (Note: each NEW complaint may have multiple allegations.)

Corrective Measures Were Taken/Came into Compliance

Written Assurances Received

Unlicensed Practice of Engineering (Individual)

Unlicensed Practice of Land Surveying (Corporation)

Unlicensed Practice of Architecture (Corporation)

Unlicensed Practice of Landscape Architecture (Individual)

Unlicensed Practice of Engineering (Corporation)

Unlicensed Practice of Land Surveying (Corporation)

Minimum Standards Violation

Immediate Personnel Supervision Violation

Title Block Rule Violation

Plan Stamping

Use of Fraud, Deception, Misrepresentation in Securing a License

Failure to Report Out of State Discipline on a Renewal

Political Subdivisions Not Using Licensed Professionals

Criminal Prosecution

Revocation

Civil Penalty

Probated Corporation Certificate of Authority Issued & Civil Penalty

Voluntary Surrender of Architectural License

Chapter 327, then the Board can request the Attorney General's office to file suit in the appropriate circuit court seeking an injunction to restrain that individual or corporation from practicing architecture, professional landscape engineering and surveying without being properly licensed. If an individual or professional landscape engineering, professional land surveyor, professional architect or engineer refuses to voluntarily cease and desist all illegal activities and to conduct all future activities in compliance with professional discipline, the Board can request the Attorney General's office to file suit in the appropriate circuit court seeking an injunction to restrain that individual or professional landscape engineering, professional land surveyor, professional architect or engineer from practicing architecture, professional landscape engineering and surveying without being properly licensed.

As you can see in 2016 the Board has had 23 complaints filed against individuals and 20 complaints filed against corporations which were charged with practicing architecture, professional landscape engineering, professional land surveyor, professional architect or engineer.

Attorneys General's Office to seek authority to refer the matter to the Board without a license. Another option the Board has is to refer the matter to the individual or corporation from practicing architecture, professional landscape engineering and surveying without being properly licensed.

Attorney General's Office to seek authority to assess a civil penalty.

Offense, however, each day of a continued violation constitutes a separate offense, with a maximum penalty of \$25,000. The Board assessed \$42,400

in civil penalties in 2016.



# NEW LICHE ARCHITECTS

The following individuals were licensed between October 1, 2016 and April 1, 2017

## NEW LICENSEES

Abdellaziz, Hala Juma	Atkins, James Bruce	Bailey, David A.	Beverlin, Amber A.	Birnklej, Jonathan	Bishop, Christina	Blair, Brian M.	Boen, Nathan Kent	Bright, Jesse Forest	Brown, Thomas W.	Clagett, Christine	Close, Ryan Daniel	Collins, Sam	Cutberth, Jeffrey L.	Dalton, Jason	DeRoo, Bradley W.	Doctor, Brent A.	Dodson, Shaun Michael
Schoeder, Ryan A.	Scott, Michael Preston	Shuford, Delebert D.	Sickeler, Richard Scott	Small, Devon B.	McMahon, Michael J.	Metts, Peter H.	Mitchell, Albrecht P.	Mixon, John Charles Sanders	Hayes, Suzanne Malissa	Hainline, Jason Scott	Hyland, Heidi Marie	Jones, Chad Alan	Kamus, John Howard	Krawiec, Michael	Lagoo, Leon O.	Lamper, Alison	DeRoo, Bradley W.
Shuford, Delebert D.	Sickeler, Richard Scott	Small, Devon B.	McMahon, Michael J.	Metts, Peter H.	Mitchell, Albrecht P.	Mixon, John Charles Sanders	Hayes, Suzanne Malissa	Hainline, Jason Scott	Hyland, Heidi Marie	Jones, Chad Alan	Kamus, John Howard	Krawiec, Michael	Lagoo, Leon O.	Lamper, Alison	DeRoo, Bradley W.	Doctor, Brent A.	Dodson, Shaun Michael
Mass, Kevin Anthony	McGill, Clayton	McMahon, Michael J.	Metts, Peter H.	Mitchell, Albrecht P.	Mixon, John Charles Sanders	Hayes, Suzanne Malissa	Hainline, Jason Scott	Hyland, Heidi Marie	Jones, Chad Alan	Kamus, John Howard	Krawiec, Michael	Lagoo, Leon O.	Lamper, Alison	DeRoo, Bradley W.	Doctor, Brent A.	Dodson, Shaun Michael	
Mass, Kevin Anthony	McGill, Clayton	McMahon, Michael J.	Metts, Peter H.	Mitchell, Albrecht P.	Mixon, John Charles Sanders	Hayes, Suzanne Malissa	Hainline, Jason Scott	Hyland, Heidi Marie	Jones, Chad Alan	Kamus, John Howard	Krawiec, Michael	Lagoo, Leon O.	Lamper, Alison	DeRoo, Bradley W.	Doctor, Brent A.	Dodson, Shaun Michael	
Foster, E. Russell	Forgay, David Matthew	Mass, Kevin Anthony	McGill, Clayton	Metts, Peter H.	Mitchell, Albrecht P.	Mixon, John Charles Sanders	Hayes, Suzanne Malissa	Hainline, Jason Scott	Hyland, Heidi Marie	Jones, Chad Alan	Kamus, John Howard	Krawiec, Michael	Lagoo, Leon O.	Lamper, Alison	DeRoo, Bradley W.	Doctor, Brent A.	Dodson, Shaun Michael
Escobar, James Louis, Sr.	Forgay, David Matthew	Mass, Kevin Anthony	McGill, Clayton	Metts, Peter H.	Mitchell, Albrecht P.	Mixon, John Charles Sanders	Hayes, Suzanne Malissa	Hainline, Jason Scott	Hyland, Heidi Marie	Jones, Chad Alan	Kamus, John Howard	Krawiec, Michael	Lagoo, Leon O.	Lamper, Alison	DeRoo, Bradley W.	Doctor, Brent A.	Dodson, Shaun Michael
Lyons, Adam N	Mano, Matthew A.	Mass, Kevin Anthony	McGill, Clayton	Metts, Peter H.	Mitchell, Albrecht P.	Mixon, John Charles Sanders	Hayes, Suzanne Malissa	Hainline, Jason Scott	Hyland, Heidi Marie	Jones, Chad Alan	Kamus, John Howard	Krawiec, Michael	Lagoo, Leon O.	Lamper, Alison	DeRoo, Bradley W.	Doctor, Brent A.	Dodson, Shaun Michael
Schneider, Mark D.	Schoeder, Ryan A.	Mass, Kevin Anthony	McGill, Clayton	Metts, Peter H.	Mitchell, Albrecht P.	Mixon, John Charles Sanders	Hayes, Suzanne Malissa	Hainline, Jason Scott	Hyland, Heidi Marie	Jones, Chad Alan	Kamus, John Howard	Krawiec, Michael	Lagoo, Leon O.	Lamper, Alison	DeRoo, Bradley W.	Doctor, Brent A.	Dodson, Shaun Michael
Young, Christopher M.	Wanyndon, Jarvis M.	Wingert, James D.	Whitaker, John P.	Wagner, Adam L.	Waynick, Jonathan L.	Walker, Jerry M.	Tracz, David	Top, Lori Ann	Thorpé, Lana Nicole	Stoverink, Jean	Spikes, Jeffri A.	Spangler, Todd Anthony	Walker, Jerry M.	Waynick, Jonathan L.	Whitaker, John P.	Wagner, Adam L.	Young, Christopher M.



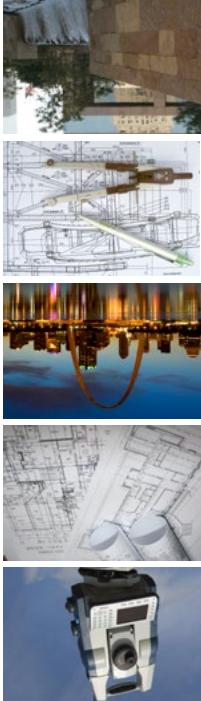
# DIMENSIONS



AEI of Tennessee, PC	Architectural Design Collaborative, Inc.	Blackburn Architects P.C.	Brewster & Crocker Architects, P.C.	Carlson Wallbridge Associates LLC	Cities Edge, LLC	DEJSL LLC	DeFilippis + Associates, Inc	Evans Taylor Foster Chidress Architects, PC	Falk Architects Inc.	FLX Architecture LLC	Gardner Architects, LLC	Group One Partners, Inc	Hastings Architecture Associates, LLC	HBA Architecture & Interior Design, Inc	Jencen Architecture LLC	JLL Architecture, LLC	John Wisniowski Architect, LLC	Wolfe Architecture & Design LLC
Kem Studio Inc.	Leech-Hensley Architects, Inc	Merriman Pitt/Anderson, Inc.	Method Studio, a Professional Corporation	MSKTD & Associates, Inc	NNE Pharamplan, Inc	Page Southland Page, Inc.	Premier Civil Engineering, LLC	Record Architectural Design LLC	Ryan A Schroeber, Architect, LLC	Studio [Intrigue] Architects, LLC	The Molkenkopf Design Group, L.L.C.	Wolfe Architecture & Design LLC						
Anthonyn Duncan Architect, LLC	Architectural Design Collaborative, Inc.	Blackburn Architects P.C.	Brewster & Crocker Architects, P.C.	Carlson Wallbridge Associates LLC	Cities Edge, LLC	DEJSL LLC	DeFilippis + Associates, Inc	Evans Taylor Foster Chidress Architects, PC	Falk Architects Inc.	FLX Architecture LLC	Gardner Architects, LLC	Group One Partners, Inc	Hastings Architecture Associates, LLC	HBA Architecture & Interior Design, Inc	Jencen Architecture LLC	JLL Architecture, LLC	John Wisniowski Architect, LLC	Wolfe Architecture & Design LLC
Kem Studio Inc.	Leech-Hensley Architects, Inc	Merriman Pitt/Anderson, Inc.	Method Studio, a Professional Corporation	MSKTD & Associates, Inc	NNE Pharamplan, Inc	Page Southland Page, Inc.	Premier Civil Engineering, LLC	Record Architectural Design LLC	Ryan A Schroeber, Architect, LLC	Studio [Intrigue] Architects, LLC	The Molkenkopf Design Group, L.L.C.	Wolfe Architecture & Design LLC						

The following corporations and LLCs were licensed between October 1, 2016 and April 1, 2017

## ARCHITECTURAL CORPORATIONS/LLCS



# PROFESSIONAL ENGINEERS

The following individuals were licensed between October 1, 2016 and April 1, 2017



PROFESSIONAL ENGINEERS CONTINUED...

Gormley, David Reid	Hosinski, Benjamin David	Klein, Lucas D.	Hoyer, Joshua N.	Klein, Mitchell R.	Marcinkik, John David	Marshall, Brian	Marsden, Zachary R.	Howard, Christopher Charles	Kraeutler, David Thomas	Krusse, Joshua Ryan	Hoyt, Daniel Scott	Hubblett, James Daniel	Hunt, Jennifer Dawn	Ishner, Keith Allen	Jansen, Craig Allen	Jenkins, Wyatt Steven	Johnson, Matthew Alan	Karlberg, John M.	Ledain, Jeffrey R.	Leising, Luke Jeremy	Leonard, Benjamin Luke	Lewis, Aaron R.	Lewis, Joseph Charles	Lewis, Steven Ross	Li, Zhe	Liao, Tao	Linette, Justin Peter	Little, Robert S.	Looes, Jonathan Ryan	Loredo, Javier	Lusk, Braden Trex	Luther, James G.	Mackley, Andrew Riccardo	MacDonald, Erik Michael	Mader, Michael	Madero, Melissa A.	Makspert, Thomas J.	Malmann, Jeffrey M.	Martmann, Lisa Virginia
Gourdon, Jeremy Jay	Grapasas, David John	Green, John G., II	Grier, Nathaniel J.	Gumpp, Gerald B.	Haight, Todd A.	Halladay, Dana Stanley	Hammod, Bassam M.	Hanchey, Craig Michael	Hanlon, Scott Michael	Hartnett, Christopher Anthony	Hasbrouck, Gregory T.	Hay, Robert C., Sr.	Hegeger, John Charles	Heitmam, Andreia	Helgesson, Nathan Thomas	Henson, Stacy Trevor	Henduinet, Christopher R.	Hermsen, Austin Alexander	Hird, David Brandon	Holm, Christopher	Holland, Valerie Ann	Hollmann, Eric K.	Holmes, Jordan Lynn	Holtmann, Lisa Virginia															
Gourdon, Jeremy Jay	Grapasas, David John	Green, John G., II	Grier, Nathaniel J.	Gumpp, Gerald B.	Haight, Todd A.	Halladay, Dana Stanley	Hammod, Bassam M.	Hanchey, Craig Michael	Hanlon, Scott Michael	Hartnett, Christopher Anthony	Hasbrouck, Gregory T.	Hay, Robert C., Sr.	Hegeger, John Charles	Heitmam, Andreia	Helgesson, Nathan Thomas	Henson, Stacy Trevor	Henduinet, Christopher R.	Hermsen, Austin Alexander	Hird, David Brandon	Holm, Christopher	Holland, Valerie Ann	Hollmann, Eric K.	Holmes, Jordan Lynn	Holtmann, Lisa Virginia															
Gourdon, Jeremy Jay	Grapasas, David John	Green, John G., II	Grier, Nathaniel J.	Gumpp, Gerald B.	Haight, Todd A.	Halladay, Dana Stanley	Hammod, Bassam M.	Hanchey, Craig Michael	Hanlon, Scott Michael	Hartnett, Christopher Anthony	Hasbrouck, Gregory T.	Hay, Robert C., Sr.	Hegeger, John Charles	Heitmam, Andreia	Helgesson, Nathan Thomas	Henson, Stacy Trevor	Henduinet, Christopher R.	Hermsen, Austin Alexander	Hird, David Brandon	Holm, Christopher	Holland, Valerie Ann	Hollmann, Eric K.	Holmes, Jordan Lynn	Holtmann, Lisa Virginia															
Gourdon, Jeremy Jay	Grapasas, David John	Green, John G., II	Grier, Nathaniel J.	Gumpp, Gerald B.	Haight, Todd A.	Halladay, Dana Stanley	Hammod, Bassam M.	Hanchey, Craig Michael	Hanlon, Scott Michael	Hartnett, Christopher Anthony	Hasbrouck, Gregory T.	Hay, Robert C., Sr.	Hegeger, John Charles	Heitmam, Andreia	Helgesson, Nathan Thomas	Henson, Stacy Trevor	Henduinet, Christopher R.	Hermsen, Austin Alexander	Hird, David Brandon	Holm, Christopher	Holland, Valerie Ann	Hollmann, Eric K.	Holmes, Jordan Lynn	Holtmann, Lisa Virginia															



# DIMENSIONS

PROFESSIONAL ENGINEERS CONTINUED...



PROFESSIONAL ENGINEERS CONTINUED...

22

# PROFESSIONAL ENGINEERING CORPORATIONS/LLCs

The following corporations and LLCs were licensed between October 1, 2016 and April 1, 2017





## PROFESSIONAL ENGINEERING CORPORATIONS/LLCs CONTINUED...

Global Fire Protection Group, LLC  
HNA Engineering, LLC  
Hometown Lawn, LLC  
Hurricane Hill Development Company LLC  
Hydration Engineering LLC  
IMEG Corp.  
Industrial TurnAround Corporation  
Integral Group LLC  
J C Hines and Associates, LLC  
Kam Design Group LLC  
KEI Keystone Engineering Inc.  
KFW Management, LLC  
Krech, Ojard & Associates, P.C.  
Lee & Company, LLC  
Luzco Technologies, LLC  
Maguire Iron, Inc.  
MHT Professional Engineering Inc  
Moore and Associates Engineering and Consulting, PC  
MRBraz & Associates, LLC  
MSA Professional Services, Inc.  
MSKTD & Associates Inc  
Nelson Forensics, LLC  
NewTran Solutions, LLC  
Northern Engineering Services, Inc.  
Nyang Engineering LLC  
P1 Group, Inc.  
Page Southerland Page, Inc.  
Pearson Engineering LLC  
Prairie Engineers of Illinois, P.C.  
Primera Engineers, Ltd.  
Providence Infrastructure Consultants, Inc.  
RES America Construction Inc.  
Richard Parmeter Consulting LLC  
River Valley Testing Corp  
RTB Engineering, P.C.  
SEL Engineering Services, Inc.  
Shoreline Surveying and Engineering, LLC  
Smith Monroe Gray Engineers, Inc.  
Southport Engineered Systems, LLC  
Spartan Engineering Solutions, LLC  
Sprinkle Consulting, Inc.  
StressCrete Inc.  
Subsurface Constructors Incorporated  
The Transtec Group, Inc.  
Thompson Civil, LLC  
TTG Engineers, Inc.  
TUV Rheinland Industrial Solutions, Inc.  
Viet Engineering, LLC  
Weeks Consulting, LLC  
Wilson Engineering Services, PC  
WTS Engineering LLC  
Young Engineering LLC





## PROFESSIONAL LAND SURVEYORS

The following individuals were licensed between October 1, 2016 and April 1, 2017

Boren, Patrick E.

Hardy, Seth Michael

Tomson, Travis Alan

Brinker, Zachary Allen

Olson, Ken G.

## PROFESSIONAL LAND SURVEYING CORPORATIONS/LLCs

The following corporations and LLCs were licensed between October 1, 2016 and April 1, 2017

Benham Design, LLC

Higby Land Surveying, LLC

Shoreline Surveying and Engineering, LLC

H & H Surveys & Consultants, LLC

Mott MacDonald, LLC

## PROFESSIONAL LANDSCAPE ARCHITECTS

The following individuals were licensed between October 1, 2016 and April 1, 2017

Bihan, Rene

London, Amy Sherylann

Richardson, Amanda Wilkinson

Brochtrup, Stacey Leigh

Maddox, Fred Alexander

Schroeder, Paul David

Davis, Brooke A

Martinovic, Kathryn Rose

Surber, Michelle Pope

Gray, Robert L.

McBride, Brandon D

Wagner, Samantha M

Henricksen, Caitlin Le

Mueller, Kristiana Grace

Wilkinson, Jordan C

Higgins, Julie Barrett

Rainer, Melissa Anne Knauer

Wolnitzek, Gary Robert

## PROFESSIONAL LANDSCAPE ARCHITECTURAL CORPORATIONS/LLCs

The following corporations and LLCs were licensed between October 1, 2016 and April 1, 2017

Hord Coplan Macht, Inc

Rhodeside & Harwell, Incorporated

Human Nature Inc.

Spartan Engineering Solutions, LLC



# DIMENSIONS



## DISCIPLINARY ACTIONS

**DISCLAIMER:** Every effort has been made to ensure that the following information is correct. However, this information should not be relied upon without verification from the Board office. It should be noted that the names of companies and individuals listed may be similar to the names of the parties who have not had enforcement actions taken against them. Disciplinary orders are public and copies may be obtained by contacting the Board office. Pursuant to Chapter 327, RSMo, a licensee is entitled to engage in the practice of architecture, engineering, land surveying or landscape architecture during his/her suspension period.

## LICENSEES CURRENTLY ON SUSPENSION

**BORGARD, MICHAEL GLENN, PE-24533** (Fenton, Missouri) – suspended pursuant to Section 324.010, RSMo, on August 14, 2016.

**CORN, MICHAEL LEWIS, A-3427** (St. Louis, Missouri) – suspended pursuant to Section 324.010, RSMo, on June 21, 2014.

**GOMEZ, MARIO P., PE-19007** (Ladue, Missouri) – suspended pursuant to Section 324.010, RSMo, on November 21, 2009.

**GOODMAN, CHARLES LOUIS, PE-2005024489** (Manhattan, Kansas) – suspended pursuant to Section 324.010, RSMo, on June 21, 2014.

**KLEIN, EDWARD F., PE-13977** (Kansas City, Missouri) – suspended pursuant to Section 324.010, RSMo, on May 21, 2012.

**LUCAS, MARTIN A., PLS-1857** (East Prairie, Missouri) – suspended pursuant to Section 324.010, RSMo, on November 21, 2009.

**MAGEE, ALLAN HAROLD, PE-24813** (Ballwin, Missouri) – suspended pursuant to Section 324.010, RSMo, on August 14, 2016.

**MCBRIDE, ROLAND E., PLS-2486** (Lees Summit, Missouri) – suspended pursuant to Section 324.010, RSMo, on August 14, 2016.

**STANLEY, HAROLD RAY, PE-19372** (Peculiar, Missouri) – suspended pursuant to Section 324.010, RSMo, on June 19, 2010.

**WHITE, TIMOTHY LEE, PLS-2534** (Cape Girardeau, Missouri) – suspended pursuant to Section 324.010, RSMo, on August 14, 2016.

**WOLF, DONALD R., PE-23385** (Lees Summit, Missouri) – suspended pursuant to Section 324.010, RSMo, on June 21, 2014.

## LICENSEES CURRENTLY ON PROBATION

**CURTIS, DONALD DUSTIN, A-2011008143** (Phoenix, Arizona) – probation commenced on October 4, 2016 and ends on October 3, 2019.

Phoenix, Arizona

CURTIS, DONALD DUSTIN, A-2011008143

PROBATIONS

**DWYER, TODD L., PLS-2656** (La Jolla, California) – probate action commenced on November 12, 2016 and ends on November 11, 2017.

**LINDSEY, RAYBURN G., A-4903** (Paragould, Arkansas) – probate action commenced on March 19, 2016 and ends on March 18, 2019.

**SMTI, THOMAS N., A-2003010224** (Mount Pleasant, South Carolina) – probate action commenced on November 3, 2016 and ends on November 2, 2017.

**THD DESIGN GROUP, INC.** (Chesterfield, Missouri) – probate action commenced on December 17, 2016 and ends on December 16, 2017.



**Summary:** Mr. Smith holds a license number A-2003010224 as an architect in Missouri. Mr. Smith owns and practices in other states under the company name Novus Architects, Inc. Mr. Smith also holds a license as an architect in the state of North Carolina. On April 23, 2015, Mr. Smith, individually and on behalf of Novus Architects, Inc., signed a Consent Order with the North Carolina Board of Architecture. The Consent Order stated that Novus Architects, Inc. offered and rendered architectural services on eight projects in North Carolina after the firm license registration expired. Mr. Smith contended that neither he nor any employee in the firm intentionally violated North Carolina's Architectural Rules and Laws. However, in lieu of further proceedings, Mr. Smith and Novus Architects, Inc. agreed to enter into a Consent Agreement with the North Carolina Board, whereby Mr. Smith's license was reprinted.

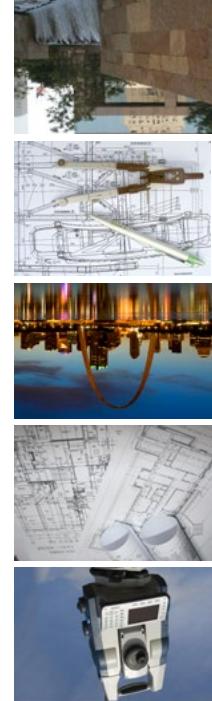
#### **Mount Pleasant, South Carolina**

SMITH, THOMAS N., A-2003010224

**Board Action:** The Board held a disciplinary hearing on April 21, 2015. Thereafter on May 20, 2015, the Board issued its Findings of Fact, Conclusions of Law and Disciplinary Order placing Mr. Curtis, architectural license number A-2011008143 on probation for a period of three years, commencing on June 2, 2015 and ending on June 1, 2018. On June 23, 2015, Mr. Curtis, by and through his attorney, David Barrett, filed a Petition for Judicial Review. The Judge issued a Stay Order on the probation. Subsequently on October 4, 2016, the Honorable Jon E. Bechtel, Judge for the Circuit Court of Cole County, Missouri, issued a Judgment denying Mr. Curtis' Petition for Judicial Review. The Stay entered by the Court was lifted, and the Board's Findings of Fact, Conclusions of Law, and Disciplinary Order was reinstated effective October 4, 2016. Therefore, Mr. Curtis' architectural license number A-2011008143 was placed on probation for a period of three years, commencing on October 4, 2016 and ending on October 3, 2019. Thereafter, on October 30, 2016, Mr. Curtis filed an appeal with the Missouri Court of Appeals, Western District.

**Cause for Discipline:** The June 3, 2014 Order entitled the Board to take such disciplinary action as the Board deems necessary if Mr. Curtis failed to comply with its terms. Failure to comply with the terms and conditions of the Order entitled the Board to impose such additional or other discipline it deems appropriate. After review of all the evidence and exhibits submitted by both parties at the April 21, 2015 hearing, it was the conclusion of the Board that Mr. Curtis violated the terms of his disciplinary order of June 3, 2014. The Board found that Mr. Curtis violated Section 327.441.2(6), RSMo, by violating or enabling any person to violate any provision of this chapter, or of any lawful rule or regulation adopted pursuant to this chapter; and, Section 327.441.2(13), RSMo, any provision of any professional trust or confidence.

engineers actually did the work. Mr. Curtis admitted that part of his contracted services on the projects included making sure the title block requirements compiled with local law. Mr. Curtis is not licensed as a professional engineer in any state. Mr. Curtis compared the lighting fixtures he replaced in the Burger King to fixtures in a warehouse, and although he often has a lighting consultant assist him, he did not in the Florissant project. Mr. Curtis did not know what art flash calculations were and did not do any in the Florissant project. Mr. Curtis did not do any calculations on gas, sewer, or fixture unit calculations for sewer or water in the Florissant project. Mr. Curtis admitted that was an error and that his staff should have caught the error, and that he had not noticed that prior Missouri. Mr. Curtis admitted that was an error and that his staff should have caught the error, and that he had not noticed that prior to being questioned about it.



manded and the corporation was ordered to pay a civil penalty of \$20,000 as well as renewal and late fees and administrative costs. When Mr. Smith renewed his Missouri license, he selected a box indicating his agreement with a number of statements, one of which was, "In any other licensing jurisdiction, I have not been the subject of disciplinary action, or entered into any type of settlement agreement for any limitation on my ability to practice, or monetary penalty or payment of costs that I have not previously disclosed to this Board." By indicating his agreement with the statement, Mr. Smith failed to reveal the North Carolina disciplinary action to the Missouri Board.

**Cause for Discipline:** The Board had cause for disciplining Mr. Smith's architectural license under Section 327.441.2(3), RSMo, by use of fraud, deception, misrepresentation or bribery in securing any license or certificate of authority issued pursuant to this chapter or in obtaining permission to take any examination given or required pursuant to this chapter; Section 327.441.2(6), RSMo, by violation of law or regulation or enabling any person to violate, any provision of this chapter, or of any lawful rule or regulation adopted pursuant to this chapter or assisting any person to violate any provision of this chapter; Section 327.441.2(8), RSMo, by disciplinary action against the holder of a certificate of authority upon grounds for right to practice any profession regulated by this chapter granted by another state, territory, federal agency or country upon grounds for which revocation or suspension is authorized in this state; and, Section 327.441.2(10), RSMo, by assisting any person to practice or offer to practice any profession licensed or regulated by this chapter who is not licensed and currently eligible to practice pursuant to this chapter.

**Board Action:** Mr. Smith signed a Settlement Agreement with the Board agreeing to his architectural license being placed on probation for one year commencing on November 3, 2016 and ending on November 2, 2017. Quarterly reporting was part of Mr. Smith's probation as well. He is not to practice architecture in Missouri under the trade name Novus Architects, Inc., unless and until a period of one year commencing on November 3, 2016 and ending on November 2, 2017. Quarterly reporting was part of Mr. Smith's probation as well. He is not to practice architecture in Missouri under the trade name Novus Architects, Inc., unless and until a boundary survey for James L. Hammont, on a property identified as Lot 20, Block 40 of Lakewood, a subdivision recorded in Plat Book 6, Page 24, St. Louis County, Missouri, located at 7813 Genesta Street, St. Louis County, Missouri. A plat was prepared identically by THD Design Group, Inc. in the title block, which was dated September 10, 2014, signed and sealed (but not dated) by Mr. Dwyer.

**Summary:** The Board received information that on or about September 10, 2014, Mr. Dwyer and THD Design Group, Inc. performed a boundary survey for James L. Hammont, on a property identified as Lot 20, Block 40 of Lakewood, a subdivision recorded in Plat Book 6, Page 24, St. Louis County, Missouri, located at 7813 Genesta Street, St. Louis County, Missouri. A plat was prepared identically by THD Design Group, Inc. in the title block, which was dated September 10, 2014, signed and sealed (but not dated) by Mr. Dwyer.

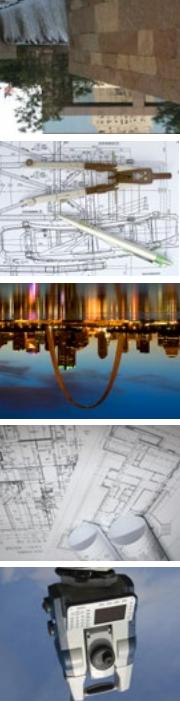
THD Design Group, Inc.'s survey crew that performed the survey located and/or placed iron pins at three corners of the property, but failed to place caps on the pins. The fourth corner was documented only with a painted cross on the road. The survey crew failed to show any control on the corner found. The survey crew set both the northwest and southwest corners of Lot 20, but failed to determine the elevation and/or determination of the west line. The survey noted possible encroachments cut or otherwise affix a permanent marker on the corner found. The survey crew set both the northwest and southwest corners of Lot 20, but failed to determine the elevation and/or determination of the west line. The survey noted possible encroachments of improvements on the neighboring property, but failed to provide data needed to determine the most nearly correct legal boundaries, Mr. Dwyer failed to supervise the survey crew to assure that all record data was a result of the survey. The plat sealed by Mr. Dwyer incorrectly identified monu-

## Dwyer, Todd L., PLS-2656

## La Jolla, California

DIMENSIONS





Rule 20 CSR 2030-16.030(M) and (N).

with requirements to show and describe monummentation of controlling corners, indicating which were found or set, in violation of Board

2.050(2)(A), to properly supervise field staff as required by Board Rules 20 CS 2030-13.020(2)(C), or to comply

the failure of THD staff and management to list the certificate of authority in the title block as required by Board Rules 20 CSR 2030-

**Cause for Discipline:** The Board had cause for disciplining THD Design Group, Inc. under Section 327.441.2(6), RSMo, based on

or set. The surveyor failed to indicate a certificate of authority to practice professional land surveying in Missouri.

noted all controlling corners and incorrectly showed and described monummentation of controlling corners, indicating which were found

the northwest corner of Lot 20 as found, when the survey file clearly shows that corner being set and further indicated or

posts placed in the field did not properly mark the boundaries. The plat sealed by Mr. Dwyer incorrectly identified monummentation at

the survey crew to assure that all record data needed to determine the most nearly correct legal boundaries was acquired and the

of improvements on the neighboring property, but failed to provide dimensions of the encroachments. Mr. Dwyer failed to supervise

20, but failed to show any control for the evaluation and/or determination of the west line. The survey noted possible encroachments

cut or otherwise affix a permanent marker on the corner found. The survey crew set both the northwest and southwest corners of Lot

failed to place caps on the pins. The fourth corner was documented only with a painted cross on the road. The survey crew failed to

THD Design Group, Inc.'s survey crew that performed the survey located and/or placed iron corners of the property, but

ing THD Design Group, Inc. in the title block, which was dated September 10, 2014, signed and sealed (but not dated) by Mr. Dwyer.

Book 6, Page 24, St. Louis County, Missouri, located at 7813 Genesta Street, St. Louis County, Missouri. A plat was prepared identifi-

a boundary survey for James L. Hammond, on a property identified as Lot 20, Block 40 of Lakewood, a subdivision recorded in Plat

**Summary:** The Board received information that on or about September 10, 2014, THD Design Group, Inc. and Todd Dwyer performed

## Chesterfield, Missouri

### THD DESIGN GROUP, INC., LS-2011004412

placed on probation for a period of one year commencing on November 12, 2016 and ending on November 11, 2017.

**Board Action:** Mr. Dwyer signed a Settlement Agreement with the Board agreeing to his professional land surveying license being

ed electronic seal and signature in violation of Section 327.441, RSMo, and 20 CSR 2030-3.060(3).

corners, indicating which were found or set, in violation of 20 CSR 2030-16.030(M) and (N); and, for failure to use a properly protect-

Design Group, Inc. in violation of 20 CSR 2030-13.020(2)(B) and (C); for failure to show and describe monummentation of controlling

327.441.2(6), RSMo, for failure to supervise the performance and preparation of professional land survey plats prepared by THD

**Cause for Discipline:** The Board had cause for disciplining Mr. Dwyer's professional land surveyor's license under Section

into numerous survey plats at Mr. Dwyer's direction. The seal did not comply with the electronic digital signature requirements.

Dwyer maintained a practice of keeping his seal in a Portable Document Format (PDF) file, which THD Design Group, Inc. staff inserted

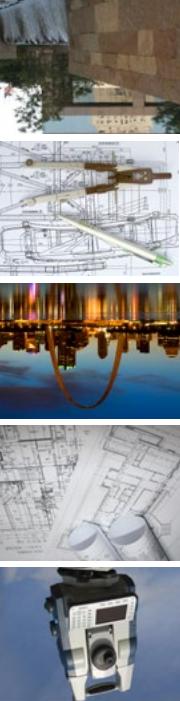
or set. The surveyor failed to indicate a certificate of authority to practice professional land surveying in Missouri. Mr.

show or note all controlling corners and failed to show and describe monummentation of controlling corners, indicating which were found

menummentation at the northwest corner of Lot 20 as found, when the survey file clearly shows that corner being set and further failed to



# DIMENSIONS



**Board Action:** In lieu of denial of Volia Engineering Services, PC's application for reauthorization of an engineering certificate of authority, the Board authorized a probationed license to Volia Engineering Services, PC. Thereafter on November 18, 2016, the Board issued an Order to Volia Engineering Services, PC, to pay \$500 in civil penalties, which shall be handled in accordance with the provisions of section 7 of article IX of the Missouri Constitution. In determining the amount the Board decided to impose on Volia Engineering Services, PC, it considered the deterrent effect the penalty would have, the circumstances that led to the violations, the severity of the violation and risk to the public, the economic benefits gained by Volia Engineering Services, PC, and the interest to the public. Within 60 days of the date of the Order, Volia Engineering Services, PC, shall issue a cashier's check or money order in the amount of \$500 made payable to Q. Troy Thomas, Director of Finance of Jackson County, Missouri, for payment to the county school fund. Within 60 days of the date of the Order, Volia Engineering Services, PC, shall issue a cashier's check or money order in the amount of \$500 made payable to Q. Troy Thomas, Director of Finance of Jackson County, Missouri, for payment to the county school fund. The probation is terminated and Volia Engineering Services, PC's engineering certificate of authority, number E-001131, is now considered in good standing.

**Cause for Discipline:** Cause exists for the Board to deny Volia Engineering Services, PC's application for reauthorization of an engineering certificate of authority pursuant to Section 327.441.2(5), RSMo, by incompetence, misconduct, gross negligence, fraud, misrepresentation or dishonesty in the performance of the functions or duties of any profession licensed or regulated by this chapter; and, Section 327.441.2(10), RSMo, by assisting or enabling any person to practice or offer to practice any profession licensed or regulated by this chapter who is not licensed and currently eligible to practice pursuant to this chapter.

**Summary:** The Board received information that from April 15, 2015, Volia Engineering Services, PC, offered and provided professional engineering services without having renewed its certificate of authority from the Board to offer or provide such services and had incorporated in Missouri to provide such services since 1990. It also offered such services by use of the word "engineering" in its corporate name in such a manner as to represent it as being able to provide professional engineering services in Missouri even though it did not renew its certificate of authority to provide such services. On October 17, 2016, Volia Engineering Services, PC, filed an application with the Board for reauthorization of its corporate certificate under its original license number.

**Kansas City, Missouri**

**VOLIA ENGINEERING SERVICES, PC, E-001131**

**PROBATED LICENSES AND CIVIL PENALTY**

**Board Action:** THD Design Group, Inc. signed a Settlement Agreement to place its land surveying certificate of authority on probation for a period of one year commencing on December 17, 2016 and ending on December 16, 2017.



**Summary:** The Board received information that at least on one occasion Hurricane Hill Development Company LLC offered and provided professional engineering services without having obtained a certificate of authority from the Board to offer or provide such services by preparing professional engineering plans for use as a fire alarm system in a commercial property in St. Louis County, Missouri. Upon being informed of its need to obtain a certificate of authority, Hurricane Hill Development Company LLC incorporated in Missouri on January 20, 2017, with its corporate statement of purposes to provide “Electrical Engineering and Design.” On January 20, 2017, Hurricane Hill Development Company LLC filed an application with the Board for a certificate of authority to provide professional engineering services in the state of Missouri.

**Cause for Discipline:** Cause exists for the Board to deny Hurricane Hill Development Company, LLC’s application for licensure of an engineering certificate of authority pursuant to Section 327.441.2(5), RSMo, by incompetency, misconduct, gross negligence, fraud, misrepresentation or dishonesty in the performance of the functions or duties of any profession licensed or regulated by this chapter; and, Section 327.441.2(10), RSMo, by assisting or enabling any person to practice or offer to practice any profession licensed or regulated by this chapter who is not licensed and currently eligible to practice pursuant to this chapter.

**Board Action:** In lieu of denial of Hurricane Hill Development Company, LLC’s application for licensure of an engineering certificate of authority, the Board authorized a probated license to be issued to Hurricane Hill Development Company, LLC. Thereafter on January 30, 2017, the Board issued an Order to Hurricane Hill Development Company, LLC to pay \$500 in civil penalties, which shall be handled in accordance with the provisions of section 7 of article IX of the Missouri Constitution. In determining the amount the Board decided to impose on Hurricane Hill Development Company, LLC, it considered the deterrent affect the penalty would have, the circumstances that led to the violations, the severity of the violation and risk to the public, the economic benefits gained by Hurricane Hill Development Company, LLC, and the interest to the public. Within 60 days of the date of the Order, Hurricane Hill Development Company, LLC shall issue a cashier’s check or money order in the amount of \$500 made payable to Treasurer of St Louis County, Missouri, attention Cynthia Hill, for payment to the county school fund. Upon payment of the civil penalties, the certificate of authority shall be considered in good standing. On or about February 21, 2017, the Board received a money order in the amount of \$500 for the civil penalties from Hurricane Hill Development Company, LLC. On February 21, 2017, the Board mailed the \$500 money order to Cynthia Williams, Treasurer of St. Louis County, Missouri, for payment to the county school fund. Hurricane Hill Development Company, LLC’s engineering certificate of authority, number E-2017002700 is now considered in good standing.

## **VOLUNTARY SURRENDER OF CORPORATE LICENSE**

**Stephen P. Maslan & Co., A-2014041132**

**Kansas City, Missouri**

**Summary:** The Board opened a complaint file on Stephen P. Maslan & Co. based on a material mistake of fact as a result of its managing agent’s testimony at his appearance before the Architectural and Professional Engineering Divisions of the Board on April 25, 2016. In the certificate of authority application submitted by Stephen P. Maslan & Company, the managing agent signed the application stating he was a full-time employee of the architectural corporation. However, under oath, he testified that he was never an owner, officer, member, or full-time employee of the architectural corporation, and thus he was not eligible to serve as managing agent under



the terms of 20 CSR 2030-10.010(2).

**Cause for Discipline:** The Board had cause to discipline the certificate of authority of Stephen P. Maslan & Co. under Section 327.441.2(11), RSMo, for issuance of a professional license or a certificate of authority based upon a material mistake of fact.

**Board Action:** On October 31, 2016, Stephen P. Maslan & Co. surrendered its architectural certificate of authority number A-2014041132.

## **VOLUNTARY SURRENDER OF ARCHITECTURAL LICENSE**

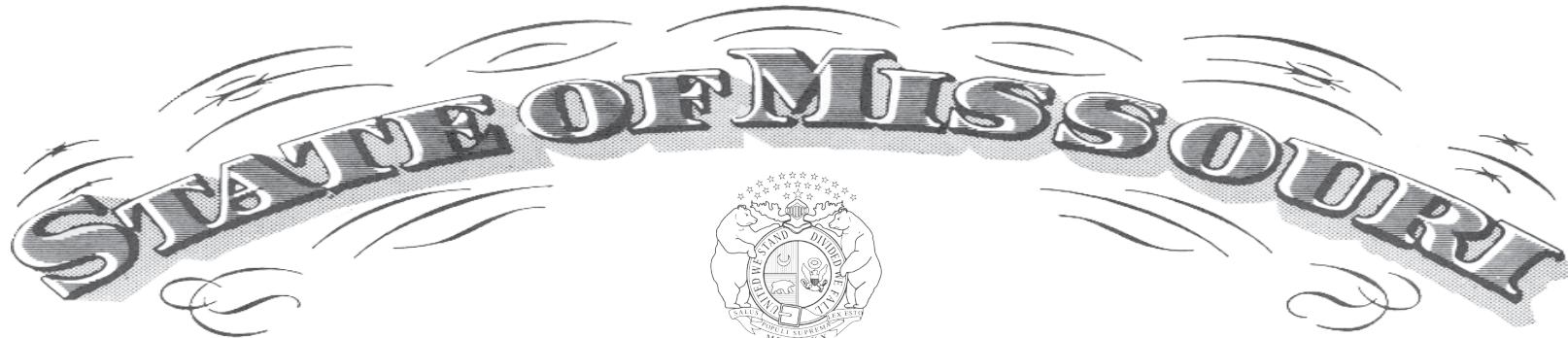
### **BARGATE, GAVIN C., A-2004032547**

#### **Jefferson City, Missouri**

**Summary:** Mr. Bargate, who was licensed as an architect in South Africa, obtained licensure without examination based on credentials indicating he had received an architectural degree from the University of Natal (now University of Kwazulu-Natal) in South Africa. On October 29, 2008, the Board received an email from the President of Educational Perspectives, stating that during a background check on Bargate's credentials, they discovered that he did not graduate from the program at the University of Kwazulu-Natal. The university confirmed that Mr. Bargate was never awarded the degree of Bachelor of Architecture by the University of Natal. On June 23, 2015, the Board received another complaint alleging misconduct, fraud and dishonesty on the part of Gavin C. Bargate d/b/a Gavin Bargate Architect of Jefferson City, Missouri. The complaint specifically alleged that Mr. Bargate paid the permit fees for a church project, altered the receipt to reflect a much larger permit fee paid and then billed the church for the larger amount, and also billed for other expenses he had not incurred.

**Cause for Discipline:** The Board filed complaints with the Administrative Hearing Commission alleging cause to discipline Mr. Bargate's architectural license under Section 327.441.2(11), RSMo, for issuance of a professional license or a certificate of authority based upon a material mistake of fact, under Section 327.441.2(4), RSMo, for obtaining a charge by fraud or misrepresentation, and under Section 327.441.2(3), RSMo, for fraud, misrepresentation or dishonesty in the performance of the functions or duties of an architect, and other violations. Bargate settled these charges by surrendering his architectural license.

**Board Action:** On December 2, 2016, Mr. Bargate voluntarily surrendered his architectural license, A-2004032547. He retained the right to take the Architect Registration Examination and reapply for licensure upon passage.



## CEU/PDH/PDU CERTIFICATE

### Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Professional Landscape Architects

#### NAME OF SPONSOR

This is to certify that the person named below has earned .5 CEU for Architects and Professional Landscape Architects, .5 PDH for Professional Engineers, and .5 PDU for Professional Land Surveyors by thoroughly reading the Spring/Summer 2017 edition of the Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Professional Landscape Architects' newsletter entitled, *Dimensions*.

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#### NAME OF PARTICIPANT

**ATTESTATION:** I attest, by the responses recorded below, my signature, and on my professional honor, that I have personally read and am familiar with the Spring/Summer 2017 edition of the Board's newsletter entitled, "*Dimensions*."

Please record your answers to the below questions, along with the page numbers on which you found the answers, in the blanks provided:

How is the determination as to who will be subject to a continuing education audit made?

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Can I claim a personal enrichment course for Continuing Education credit if it assists me in the performance of my professional work?

---

Do taxpayers fund the Board's operations?

---

Is a licensee permitted to review and seal work prepared by a designer, contractor, or drafting company on a consultant basis?

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I received an offer through e-mail for a company in Asia to perform drafting and design on my projects at a very low rate. Is this legal?

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SIGNATURE OF LICENSEE

DATE

LICENSE NUMBER

NOTE: If you claim credit for reading the newsletter and are audited, you will be required to produce this completed certificate as documentation. Therefore, you are to print and keep a copy of this completed certificate in your file with your other supporting documentation. This credit does not count toward your HSW quota.